



PATENT APPLICATION  
ATTORNEY DOCKET NO. T9849

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4-2-04  
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THE UNITED STATES PATENT & TRADEMARKS OFFICE

ART UNIT: 2161

EXAMINER:


APPLICANT: Haughan, et al.

SERIAL NO.: 09/825,415

FILED: 4/3/2001

CONFRM. NO.: 2046

FOR: SYSTEM AND METHOD FOR  
FACILITATING TRUSTED TRANSACTIONS  
BETWEEN BUSINESSES

<b>CERTIFICATE OF MAILING</b> <b>UNDER 37 C.F.R. § 1.8</b>  DATE OF DEPOSIT: <b>March 11, 2004</b>  I hereby certify that this paper or fee, (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.   <b>Steve M. Perry</b>
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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

☒ 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

☐ 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

☐ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

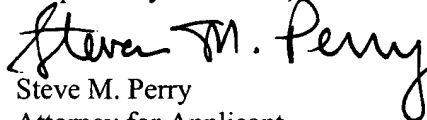
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 11th day of March, 2003.

Respectfully submitted,



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PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. T9849	SERIAL NO. 09/825,415
		APPLICANT Haughan, et al.	
		FILING DATE 04/03/2001	GROUP 2161

## LIST OF PRIOR ART CITED BY APPLICANT

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF ABR. P. INT.
	5,465,206	11/07/1995	Hilt et al.			
	5,642,419	06/24/1997	Rosen			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	Siegfried Herda, "Non-Repudiation: Constituting evidence and proof in digital cooperation", Computer Standards and Interfaces, Vol. 17, (1995) pages 69-79
	Feng Bao et al., "Efficient and Practical Fair Exchange Protocols with Off-line TTP", 1998 IEEE Pages 77-85
	Nicole Keating et al., "Euler ACI Selects Webmethods to Deliver Real-time Credit Insurance Services to Leading B2B Exchanges", XP-0021989138, www.eulergroup.com
	P J Skevington et al., "Trusted third parties in electronic commerce" BT Technol J Vol 15, No 2 April 1997, XP-000703555, Pages 39-44
EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.